

**APPENDIX 1**

**State of Hawai'i  
DLNR  
Northwestern Hawaiian Islands State Marine  
Refuge  
Permit Application Form**

<i>For Office Use Only</i>
Permit No:
Expiration date:
Date Appl. Received:
Appl. Fee received:
NWHI Permit Review Committee date:
Board Hearing date:
Post to web date:

**Type of Permit**

- I am applying for a **Research, Monitoring & Education** permit. (Complete and mail Application)
  - This application is for a NEW project in the State Marine Refuge.
  - This application is for an ANNUAL RENEWAL of a previously permitted project in the State Marine Refuge.
  
- I am applying for a permit for a **Native Hawaiian** permit. (Complete and mail Application)
  - This application is for a NEW project in the State Marine Refuge.
  - This application is for an ANNUAL RENEWAL of a previously permitted project in the State Marine Refuge.
  
- I am applying for a **Special Activity** permit. (Complete and mail Application)
  - This application is for a NEW project in the State Marine Refuge.
  - This application is for an ANNUAL RENEWAL of a previously permitted project in the State Marine Refuge.

Briefly describe **Special permit** activity:

When will the NWHI activity take place?

- Summer** (May-July of \_\_\_\_\_ (year)  
Note: Permit request must be received before February 1st  
Specific dates of expedition \_\_\_\_\_
  
- Fall** (August-November) of \_\_\_\_ (year)  
Note: Permit request must be received before May 1<sup>st</sup>  
Specific dates of expedition \_\_\_\_\_
  
- Other**

**NOTE: INCOMPLETE APPLICATIONS WILL NOT BE ACCEPTED**

**Please Send Permit Applications to:**

NWHI State Marine Refuge Permit Coordinator  
State of Hawai'i  
Department of Land and Natural Resources  
Division of Aquatic Resources  
1151 Punchbowl Street, Room 330  
Honolulu, Hawai'i 96813

**NWHI State Marine Refuge Permit Application**  
**See Appendix 2 for Application Instructions**

<b>Section A – Applicant Information</b>	
1. Project Leader (attach Project Leader’s CV or resume) <input type="checkbox"/> CV attached  Name: Last, First, Middle Initial	Title
2. Mailing Address (Street/PO Box, City, State, Zip)	Telephone (      )  Fax (      )  Email Address
3. Affiliation (Institution/Agency/Organization)	For graduate students, Major Professor ’s Name & Telephone
4. Sub-Permittee/Assistant Names, Affiliations, and Contact Information <input type="checkbox"/> CV or resume attached	
5. Project Title	
6. Applicant Signature	7. Date (mm/dd/yyyy)

<b>Section B: Project Information</b>
<p><b>8. (a) Project Location</b></p> <p><input type="checkbox"/> NWHI State Marine Refuge (0-3 miles) waters surrounding:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Nihoa Island</li> <li><input type="checkbox"/> Necker Island (Mokumanamana)</li> <li><input type="checkbox"/> French Frigate Shoals</li> <li><input type="checkbox"/> Laysan</li> <li><input type="checkbox"/> Maro</li> <li><input type="checkbox"/> Gardner Pinnacles</li> <li><input type="checkbox"/> Lisianski Island, Neva Shoal</li> <li><input type="checkbox"/> Pearl and Hermes Atoll</li> <li><input type="checkbox"/> Kure Atoll, State Wildlife Refuge</li> <li><input type="checkbox"/> Other NWHI location</li> </ul> <p>Describe project location (include names, GPS coordinates, habitats, depths and attach maps, etc. as appropriate).</p>

**(b) check all actions to be authorized:**

- Enter the NWHI Marine Refuge waters
- Take (harvest)                       Possess                       Transport ( Inter-island     Out-of-state)
- Catch                                       Kill                                       Disturb     Observe
- Anchor                                       Land (go ashore)                       Archaeological research
- Interactions with Sea Turtles or Monk Seals     Interactions with Seabirds
- Interactions with Live Coral, Ark Shells or Pearl Oysters
- Interactions with Jacks, Grouper or Sharks
- Conduct Native Hawaiian religious and/or cultural activities
- Other activities \_\_\_\_\_

**(c) Collection of specimens – collecting activities (would apply to any activity):**

**Organisms or objects (List of species, if applicable, add additional sheets if necessary):**

Common name	Scientific name	No. & size of specimens	Collection Location(s)
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**(d) What will be done with the specimens after the project has ended?**

**(e) Will the organisms be kept alive after collection?**     yes     no

- Specific site/location \_\_\_\_\_
- Is it an open or closed system?                       open     closed
- Is there an outfall?                                       yes     no
- Will these organisms be housed with other organisms? If so, what are the other organisms?

(Please attach additional documentation as needed to complete the questions listed below)

9. Purpose/Need/Scope: <ul style="list-style-type: none"><li>State purpose of proposed activities:</li></ul>
Describe how your proposed activities will help provide information or resources to fulfill the State Marine Refuge purpose and to reach the Refuge goals and objectives. <ul style="list-style-type: none"><li>Give reasons why this activity must take place in the NWHI and cannot take place in the Main Hawaiian Islands, or elsewhere.</li></ul>
<ul style="list-style-type: none"><li>Describe context of this activity, include history of the science for these questions and background.</li></ul>
<ul style="list-style-type: none"><li>Explain the need for this activity and how it will help to enhance survival or recovery of refuge wildlife and habitats.</li></ul>
<ul style="list-style-type: none"><li>Describe how your proposed project can help to better manage the State Marine Refuge.</li></ul>
10. Procedures (include equipment/materials)
11. Funding sources (attach copies budget & funding sources).
12. List all literature cited in this application as well as all other publications relevant to the proposed project.
13. What types of insurance do you have in place? (attach documentation) <input type="checkbox"/> Wreck Removal <input type="checkbox"/> Pollution
14. What certifications/inspections do you have scheduled for your vessel? (attach documentation) <input type="checkbox"/> Rat free <input type="checkbox"/> tender vessel <input type="checkbox"/> gear/equipment <input type="checkbox"/> Hull inspection <input type="checkbox"/> ballast water
15. Other permits (list and attach documentation of all other required Federal or State permits).
16. Project's relationship to other research projects within the NWHI State Marine Refuge, National Wildlife Refuge, NWHI Coral Reef Ecosystem Reserve, or elsewhere.

## Section C: Logistics

17. Time Frame:

Project Start Date

Project Completion Date

Dates actively inside the State Marine Refuge.

Personnel schedule in the State Marine Refuge (describe who will be where and when).

18. Gear and Materials

- Dive equipment                       Radio Isotopes  
 Collecting Equipment             Chemicals (specify types)

19. Fixed installations and instrumentation.

- Transect markers                       Acoustic receivers  
 Other (specify)

20. Provide a time line for sample analysis, data analysis, write-up and publication of information.

21. **Vessel Information:**

Vessel Name \_\_\_\_\_ IMO Number \_\_\_\_\_

Vessel Owner \_\_\_\_\_ Flag \_\_\_\_\_

Captain's Name \_\_\_\_\_ Chief Scientist or Project Leader \_\_\_\_\_

Vessel Type \_\_\_\_\_ Call sign \_\_\_\_\_

Length \_\_\_\_\_ Gross tonnage \_\_\_\_\_

Port of Embarkation \_\_\_\_\_

Last port vessel will have been at prior to this embarkation \_\_\_\_\_

Total Ballast Water Capacity: Volume \_\_\_\_\_ m<sup>3</sup> Total number of tanks on ship \_\_\_\_\_

Total Fuel Capacity: \_\_\_\_\_ Total number of fuel tanks on ship \_\_\_\_\_

Other fuel/chemicals to be carried on board and amounts:

Number of tenders/skiffs aboard and specific type of motors:

Does the vessel have the capability to hold sewage and grey-water? Describe in detail.

Does the vessel have a night-time light protocol for use in the NWHI? Describe in detail (attach additional pages as necessary)

On what workboats (tenders) will personnel, gear and materials be transported within the State Marine Refuge?

How will personnel, gear and materials be transported between ship and shore?

If applicable, how will personnel be transported between islands within any one atoll?